



501.37120CX1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): K. TSUBOSAKI et al  
Serial No.: 09/308,620  
Filed: July 20, 1999  
For: SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD THEREOF  
Group: 2811  
Examiner: L. THAI

#25  
IDS  
J. M. Chinnick  
9/20/02

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents  
Washington, D. C. 20231

August 30, 2002

Sir:

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In the matter of the above-identified Continued Prosecution Application, applicants, through their undersigned representative, are submitting herewith copies and a listing therefor, in equivalent Form PTO-1449, of the art documents cited in an Office Action with regard to a counterpart Chinese application, for the USPTO's consideration. (A copy of the foreign Office Action as well as an English language version thereof are enclosed herewith.)

It is noted that one of the citations in the Office Action of the counterpart application, namely, USP 4,829,666, was earlier cited by the Examiner in connection with the prosecution history related to the above-referenced application. Therefore, for purposes of this IDS, only the remaining art documents cited in the foreign Office Action, namely, the Japanese Laid Open application publication numbers as presently listed in equivalent Form PTO 1449 are to be considered as being cited herein.

This Information Disclosure Statement (IDS) is being submitted prior to the mailing of either a Final Action on the merits or an official Notice of Allowance and, accordingly, is in compliance with 37 CFR §1.97(c). The following statement, as specified under 37 CFR §1.97(e) is being made:

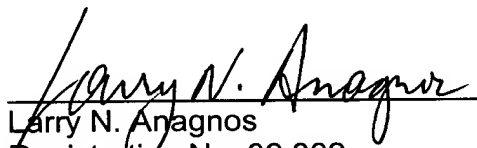
*Upon information and belief, the undersigned submits that each item of information contained in this Information Disclosure Statement (IDS) was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this IDS.*

As to the requirement of 37 CFR §1.98(a)(3) for a concise explanation of relevance to the extent that any of the listed documents is not in the English language, this is met by the submission of an English language version of the foreign Office Action.

It is submitted this IDS is in compliance with the rules of practice as well as with USPTO guidelines. Therefore, applicants respectfully request that it be entered and duly considered by the USPTO. Also, acknowledgment of entry as well as of formal consideration thereof by the Examiner is respectfully requested.

If any costs are due in accordance with the filing of this Information Disclosure Statement, please charge same to the account of Antonelli, Terry, Stout & Kraus, LLP, Account No. 01-2135 (501.37120CX1).

Respectfully submitted,  
**ANTONELLI, TERRY, STOUT & KRAUS, LLP**

  
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